

UNITED STATES DISTRICT COURT

for the

Southern District of New York

| | | |
|--------------------------|---|----------------------|
| United States of America |) | |
| v. |) | |
| CHARLIE JAVICE |) | Case No. 23 MAG 2638 |
| <hr/> Defendant |) | |

APPEARANCE BOND

DRAFT 4

Defendant's Agreement

I, CHARLIE JAVICE (defendant), agree to follow every order of this court, or any court that considers this case, and I further agree that this bond may be forfeited if I fail:

() to appear for court proceedings;
 () if convicted, to surrender to serve a sentence that the court may impose; or
 () to comply with all conditions set forth in the Order Setting Conditions of Release.

Type of Bond

() (1) This is a personal recognizance bond.

() (2) This is an unsecured bond of _____ . () Cosigned by _____ FRP.

() (3) This is a secured bond of \$ 2,000,000.00, secured by:

() (a) _____ , in cash deposited with the court.

() (b) the agreement of the defendant and each surety to forfeit the following cash or other property
(describe the cash or other property, including claims on it — such as a lien, mortgage, or loan — and attach proof of ownership and value):

DEFENDANT'S RESIDENCE IN MIAMI BEACH, FL

If this bond is secured by real property, documents to protect the secured interest may be filed of record.

() (c) a bail bond with a solvent surety *(attach a copy of the bail bond, or describe it and identify the surety):*

() (d) Cosigned by 2 FRP.

Forfeiture or Release of the Bond

Forfeiture of the Bond. This appearance bond may be forfeited if the defendant does not comply with the above agreement. The court may immediately order the amount of the bond surrendered to the United States, including the security for the bond, if the defendant does not comply with the agreement. At the request of the United States, the court may order a judgment of forfeiture against the defendant and each surety for the entire amount of the bond, including interest and costs.

Release of the Bond. The court may order this appearance bond ended at any time. This bond will be satisfied and the security will be released when either: (1) the defendant is found not guilty on all charges, or (2) the defendant reports to serve a sentence.

Declarations

Ownership of the Property. I, the defendant – and each surety – declare under penalty of perjury that:

- (1) all owners of the property securing this appearance bond are included on the bond;
- (2) the property is not subject to claims, except as described above; and
- (3) I will not sell the property, allow further claims to be made against it, or do anything to reduce its value while this appearance bond is in effect.

Acceptance. I, the defendant – and each surety – have read this appearance bond and have either read all the conditions of release set by the court or had them explained to me. I agree to this Appearance Bond.

I, the defendant – and each surety – declare under penalty of perjury that this information is true. (See 28 U.S.C. § 1746.)

Date: 04/04/2023


Defendant's Signature **CHARLIE JAVICE**

Interpreter's Initials

Surety/property owner - printed name

Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

Surety/property owner - printed name

Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

Surety/property owner - printed name

Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

Date: 04/04/2023

CLERK OF COURT


Signature of Deputy Clerk

Approved.

Date: _____

Digitally signed by MICAH

FERGENSON

Date: 2023.04.04 16:48:47 -04'00'

AUSA's Signature **MICAH FERGENSON**

23 MAG 2638

AO 98 (Rev. 12/11) Appearance Bond

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Date: 04/04/2023


CHARLIE JAVICE
Surety/property owner - printed name

Defendant's Signature **CHARLIE JAVICE**



04/14/23
Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

Deputy Clerk's Initials

Interpreter's Initials

Surety/property owner - printed name

Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

Surety/property owner - printed name

Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

CLERK OF COURT

Date: 04/04/2023

Signature of Deputy Clerk

Approved.

Date: _____

MICAH FERGENSON

Digitally signed by MICAH

FERGENSON

Date: 2023.04.04 16:48:47 -04'00'

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Date: 04/04/2023

Natalie Rosin
Surety/property owner - printed name

Defendant's Signature CHARLIE JAVICE

Charlie Javice April 14, 2023

Interpreter's Initials

Interpreter's Initials

Surety/property owner - printed name

Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

Surety/property owner - printed name

Surety/property owner - signature and date

Deputy Clerk's Initials

Interpreter's Initials

Date: 04/04/2023

Signature of Deputy Clerk

Approved.

Date: _____

MICAH FERGENSON

Digitally signed by MICAH
FERGENSON

Date: 2023.04.04 16:48:47 -04'00'

AUSA's Signature **MICAH FERGENSON**

UNITED STATES DISTRICT COURT
for the

Southern District of New York

United States of America)
v.)
CHARLIE JAVICE) Case No. 23 MAG 2638

Defendant)

ORDER SETTING CONDITIONS OF RELEASE

IT IS ORDERED that the defendant's release is subject to these conditions:

- (1) The defendant must not violate federal, state, or local law while on release.
- (2) The defendant must cooperate in the collection of a DNA sample if it is authorized by 34 U.S.C. § 40702.
- (3) The defendant must advise the court or the pretrial services office or supervising officer in writing before making any change of residence or telephone number.
- (4) The defendant must appear in court as required and, if convicted, must surrender as directed to serve a sentence that the court may impose.

The defendant must appear at: _____

Place

on _____

Date and Time

If blank, defendant will be notified of next appearance.

- (5) The defendant must sign an Appearance Bond, if ordered.

ADDITIONAL CONDITIONS OF RELEASE

Pursuant to 18 U.S.C. § 3142(c)(1)(B), the court may impose the following least restrictive condition(s) only as necessary to reasonably assure the appearance of the person as required and the safety of any other person and the community.

IT IS FURTHER ORDERED that the defendant's release is subject to the conditions marked below:

(6) The defendant is placed in the custody of:

Person or organization _____

Address (only if above is an organization) _____

City and state _____

Tel. No. _____

who agrees to (a) supervise the defendant, (b) use every effort to assure the defendant's appearance at all court proceedings, and (c) notify the court immediately if the defendant violates a condition of release or is no longer in the custodian's custody.

Signed: _____

Custodian

Date

(7) The defendant must:

(a) submit to supervision by and report for supervision to the telephone number _____, no later than _____.

(b) continue or actively seek employment.

(c) continue or start an education program.

(d) surrender any passport to: PRETRIAL SERVICES

(e) not obtain a passport or other international travel document.

(f) abide by the following restrictions on personal association, residence, or travel: SDNY/EDNY/ SD FLA AND POINTS IN BETWEEN FOR TRAVEL ONLY.

(g) avoid all contact, directly or indirectly, with any person who is or may be a victim or witness in the investigation or prosecution, including: _____

(h) get medical or psychiatric treatment: _____

(i) return to custody each _____ at _____ o'clock after being released at _____ o'clock for employment, schooling, or the following purposes: _____

(j) maintain residence at a halfway house or community corrections center, as the pretrial services office or supervising officer considers necessary.

(k) not possess a firearm, destructive device, or other weapon.

(l) not use alcohol () at all () excessively.

(m) not use or unlawfully possess a narcotic drug or other controlled substances defined in 21 U.S.C. § 802, unless prescribed by a licensed medical practitioner.

(n) submit to testing for a prohibited substance if required by the pretrial services office or supervising officer. Testing may be used with random frequency and may include urine testing, the wearing of a sweat patch, a remote alcohol testing system, and/or any form of prohibited substance screening or testing. The defendant must not obstruct, attempt to obstruct, or tamper with the efficiency and accuracy of prohibited substance screening or testing.

(o) participate in a program of inpatient or outpatient substance abuse therapy and counseling if directed by the pretrial services office or supervising officer.

(p) participate in one of the following location restriction programs and comply with its requirements as directed.

(i) **Curfew.** You are restricted to your residence every day () from _____ to _____, or () as directed by the pretrial services office or supervising officer; or

(ii) **Home Detention.** You are restricted to your residence at all times except for employment; education; religious services; medical, substance abuse, or mental health treatment; attorney visits; court appearances; court-ordered obligations; or other activities approved in advance by the pretrial services office or supervising officer; or

(iii) **Home Incarceration.** You are restricted to 24-hour-a-day lock-down at your residence except for medical necessities and court appearances or other activities specifically approved by the court; or

(iv) **Stand Alone Monitoring.** You have no residential curfew, home detention, or home incarceration restrictions. However, you must comply with the location or travel restrictions as imposed by the court.

Note: Stand Alone Monitoring should be used in conjunction with global positioning system (GPS) technology.

ADDITIONAL CONDITIONS OF RELEASE

() (q) submit to the following location monitoring technology and comply with its requirements as directed:

() (i) Location monitoring technology as directed by the pretrial services or supervising officer; or

() (ii) Voice Recognition; or

() (iii) Radio Frequency; or

() (iv) GPS.

() (r) pay all or part of the cost of location monitoring based upon your ability to pay as determined by the pretrial services or supervising officer.

() (s) report as soon as possible, to the pretrial services or supervising officer, every contact with law enforcement personnel, including arrests, questioning, or traffic stops.

() (t) _____

\$2,000,000 Personal recognizance bond; To be cosigned by two financially responsible persons; Secured by Defendant's residence in Miami Beach, FL ; Travel restricted to SDNY/EDNY/S.D. Fla and points in between for travel only; Surrender travel documents and no new applications; Pretrial supervision as directed by Pretrial Services; Curfew; Location monitoring technology as directed by PTS; Def is not to open any new bank accounts or lines of credit without the approval of PTS. Def is not to have any contact with CC-1, Data Scientist-1, or current employees of JPMorgan Chase, except in the presence of counsel; Def is not to have any communication concerning this case with former employees, investors, and/or board members of FRANK, except in the presence of counsel. This condition does not apply to the defendant's mother or the mother's boyfriend; Def to be released on own signature; Remaining conditions to be met by 4/18/23.

Defense Counsel Name: **Marren Shah**

Defense Counsel Telephone Number: **212-849-7000**

Defense Counsel Email Address: **maarenshah@quinnemanuel.com**

ADVICE OF PENALTIES AND SANCTIONS

TO THE DEFENDANT: CHARLIE JAVICE

Case No. 23 MAG 2638

YOU ARE ADVISED OF THE FOLLOWING PENALTIES AND SANCTIONS:

Violating any of the foregoing conditions of release may result in the immediate issuance of a warrant for your arrest, a revocation of your release, an order of detention, a forfeiture of any bond, and a prosecution for contempt of court and could result in imprisonment, a fine, or both.

While on release, if you commit a federal felony offense the punishment is an additional prison term of not more than ten years and for a federal misdemeanor offense the punishment is an additional prison term of not more than one year. This sentence will be consecutive (*i.e.*, in addition to) to any other sentence you receive.

It is a crime punishable by up to ten years in prison, and a \$250,000 fine, or both, to: obstruct a criminal investigation; tamper with a witness, victim, or informant; retaliate or attempt to retaliate against a witness, victim, or informant; or intimidate or attempt to intimidate a witness, victim, juror, informant, or officer of the court. The penalties for tampering, retaliation, or intimidation are significantly more serious if they involve a killing or attempted killing.

If, after release, you knowingly fail to appear as the conditions of release require, or to surrender to serve a sentence, you may be prosecuted for failing to appear or surrender and additional punishment may be imposed. If you are convicted of:

- (1) an offense punishable by death, life imprisonment, or imprisonment for a term of fifteen years or more – you will be fined not more than \$250,000 or imprisoned for not more than 10 years, or both;
- (2) an offense punishable by imprisonment for a term of five years or more, but less than fifteen years – you will be fined not more than \$250,000 or imprisoned for not more than five years, or both;
- (3) any other felony – you will be fined not more than \$250,000 or imprisoned not more than two years, or both;
- (4) a misdemeanor – you will be fined not more than \$100,000 or imprisoned not more than one year, or both.

A term of imprisonment imposed for failure to appear or surrender will be consecutive to any other sentence you receive. In addition, a failure to appear or surrender may result in the forfeiture of any bond posted.

Acknowledgment of the Defendant

I acknowledge that I am the defendant in this case and that I am aware of the conditions of release. I promise to obey all conditions of release, to appear as directed, and surrender to serve any sentence imposed. I am aware of the penalties and sanctions set forth above.

Date: 04/04/2023


Defendant's Signature **CHARLIE JAVICE**
 DEFENDANT RELEASED

City and State

Directions to the United States Marshal

- () The defendant is ORDERED released after processing.
- () The United States marshal is ORDERED to keep the defendant in custody until notified by the clerk or judge that the defendant has posted bond and/or complied with all other conditions for release. If still in custody, the defendant must be produced before the appropriate judge at the time and place specified.

Date: _____

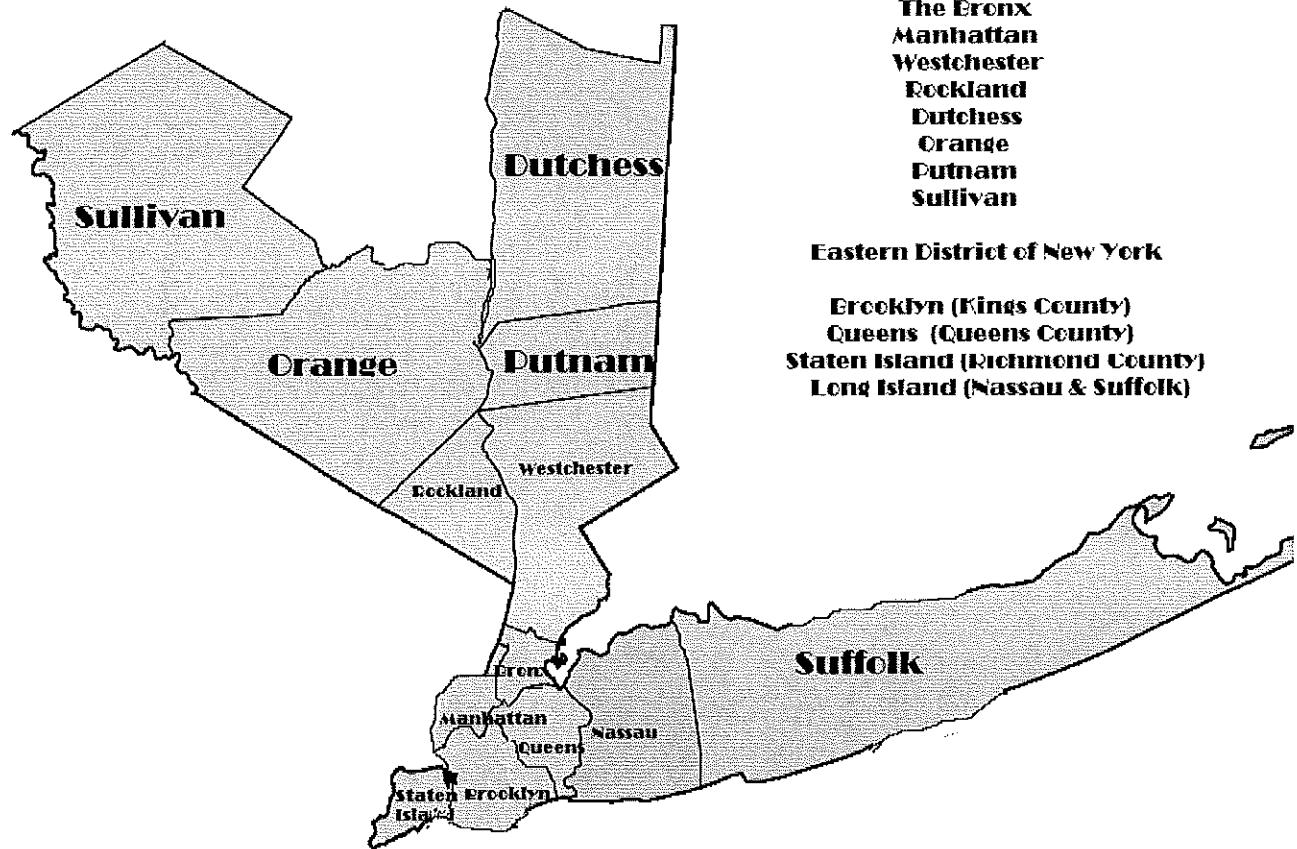
Judicial Officer's Signature

MICAH FERGENSON Digitally signed by MICAH FERGENSON

Date: 2023.04.04 16:49:05 -04'00'

AUSA's Signature **MICAH FERGENSON**

DISTRIBUTION: COURT DEFENDANT PRETRIAL SERVICE U.S. ATTORNEY U.S. MARSHAL



DOCKET No. 23-mj-02638AUSA Micah Fergenson/Dina McLeod INTERPRETER NEEDED Brady Warning Given Rule 5 Rule 9 Rule 5(c)(3) Detention Hrg. Other: _____DEFENDANT Charlie JaviceDEF.'S COUNSEL Maaren Shah/JP Kernisan RETAINED FEDERAL DEFENDERS CJA PRESENTMENT ONLY DEFENDANT WAIVES PRETRIAL REPORTDATE OF ARREST 4/3/23 VOL. SURR.TIME OF ARREST 6:20 PM ON WRITTIME OF PRESENTMENT 3:25 PM**BAIL DISPOSITION** SEE SEP. ORDER DETENTION: RISK OF FLIGHT/DANGER SEE TRANSCRIPT DETENTION ON CONSENT W/O PREJUDICE DETENTION HEARING SCHEDULED FOR: _____ AGREED CONDITIONS OF RELEASE DEF. RELEASED ON OWN RECOGNIZANCE \$2 million PRB 2 FRP SECURED BY \$ CASH/PROPERTY: Defendant's residence in Miami Beach, FL TRAVEL RESTRICTED TO SDNY/EDNY/S.D.Fla., and points in between for travel only TEMPORARY ADDITIONAL TRAVEL UPON CONSENT OF AUSA & APPROVAL OF PRETRIAL SERVICES SURRENDER TRAVEL DOCUMENTS (& NO NEW APPLICATIONS) PRETRIAL SUPERVISION: REGULAR STRICT AS DIRECTED BY PRETRIAL SERVICES DRUG TESTING/TREATMT AS DIRECTED BY PTS MENTAL HEALTH EVAL/TREATMT AS DIRECTED BY PTS DEF. TO SUBMIT TO URINALYSIS; IF POSITIVE, ADD CONDITION OF DRUG TESTING/TREATMENT HOME INCARCERATION HOME DETENTION CURFEW STAND ALONE MONITORING LOCATION MONITORING TECHNOLOGY AS DIRECTED BY PTS GPS DEF. TO PAY ALL OR PART OF COST OF LOCATION MONITORING, AS DETERMINED BY PRETRIAL SERVICES DEF. TO CONTINUE OR SEEK EMPLOYMENT [OR] DEF. TO CONTINUE OR START EDUCATION PROGRAM DEF. NOT TO POSSESS FIREARM/DESTRUCTIVE DEVICE/OTHER WEAPON DEF. TO BE DETAINED UNTIL ALL CONDITIONS ARE MET DEF. TO BE RELEASED ON OWN SIGNATURE, PLUS THE FOLLOWING CONDITIONS: _____; REMAINING CONDITIONS TO BE MET BY: 4/18/23**ADDITIONAL CONDITIONS/ADDITIONAL PROCEEDINGS/COMMENTS:**

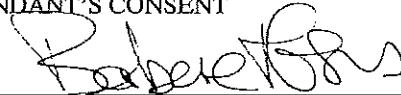
Defendant is not to open any new bank accounts or lines of credit without the approval of PTS.

Defendant is not to have any contact with CC-1, Data Scientist-1, or current employees of JPMorgan Chase, except in the presence of counsel.

Defendant is not to have any communication concerning this case with former employees, investors, and/or board members of FRANK, except in the presence of counsel. This condition does not apply to the defendant's mother or the mother's boyfriend.

 DEF. ARRAIGNED; PLEADS NOT GUILTY CONFERENCE BEFORE D.J. ON _____ DEF. WAIVES INDICTMENT SPEEDY TRIAL TIME EXCLUDED UNDER 18 U.S.C. § 3161(h)(7) UNTIL _____

For Rule 5(c)(3) Cases:

 IDENTITY HEARING WAIVED DEFENDANT TO BE REMOVED PRELIMINARY HEARING IN SDNY WAIVED CONTROL DATE FOR REMOVAL: _____PRELIMINARY HEARING DATE: April 25, 2023 ON DEFENDANT'S CONSENTDATE: April 4, 2023

UNITED STATES MAGISTRATE JUDGE, S.D.N.Y.